

03/27/01  
J1035 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37  
CFR 1.53(b))

03-29-01

Attorney Docket No. VIXS.0100010 Total Pages 40  
First Inventor or Application Identifier Indra Laksono  
Title DEVICE AND METHOD FOR COMPRESSION OF A VIDEO  
STREAM  
Express Mail Label No. EL855710810US

J1000 U.S. PTO  
09/819147  
03/27/01

| APPLICATION ELEMENTS<br><i>See MPEP chapter 600 concerning utility patent application contents.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>(Submit an original, and a duplicate for fee processing)                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence<br>Submission (if applicable, all necessary)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 2. <input checked="" type="checkbox"/> Specification Total Pages 19<br>(preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> | a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 3. <input checked="" type="checkbox"/> Drawings (35 USC 113) Total Sheets 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>✓ ACCOMPANYING APPLICATION PARTS</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 2 <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 16 completed)</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>                                                           | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>9. <input type="checkbox"/> English Translation Document (if applicable)<br>10. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of Statement (IDS)/PTO-1449 IDS Citations<br>11. <input type="checkbox"/> Preliminary Amendment<br>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>13. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27<br><input type="checkbox"/> Statement filed in Prior Application, Status still proper and desired.<br>14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>15. <input checked="" type="checkbox"/> Request & Certification Under 35 U.S.C. 122(b)(2)(B)(i)<br>16. <input type="checkbox"/> Other |
| 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

**16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior Application Information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4B, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 27412

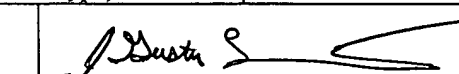
or, ☐ Correspondence Address Below

**Simon, Galasso & Frantz, PLC  
P.O. Box 26503**

**Austin, Texas 78755-0503**

**Telephone: 512-336-8957**

**Facsimile: 512-336-9155**

|                                              |                                                                                     |      |         |
|----------------------------------------------|-------------------------------------------------------------------------------------|------|---------|
| Name and Registration<br>Number (Print/Type) | J. Gustav Larson, Reg. No. 39,263                                                   |      |         |
| Signature                                    |  | Date | 3-27-01 |

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 839.00

|                        |               |
|------------------------|---------------|
| Application Number     |               |
| Filing Date            |               |
| First Named Inventor   | Indra Laksono |
| Group Art Unit         |               |
| Examiner Name          |               |
| Attorney Docket Number | VIXS.0100010  |

## Complete if Known METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

|                        |                              |
|------------------------|------------------------------|
| Deposit Account Number | 50-1259                      |
| Deposit Account Name   | Simon, Galasso & Frantz, PLC |

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance  
☒ Applicant claims small entity status. See 37 CFR 1.27  
 2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|----------|----------|------------------------|----------|
| 101                   | 710                   | 201      | 355      | Utility filing fee     | 355.00   |
| 106                   | 320                   | 206      | 160      | Design filing fee      |          |
| 107                   | 490                   | 207      | 245      | Plant filing fee       |          |
| 108                   | 710                   | 208      | 355      | Reissue filing fee     |          |
| 114                   | 150                   | 214      | 75       | Provisional filing fee |          |

SUBTOTAL (1) (\$) 355.00

### 2. CLAIMS

| Claims        | Extra      | Fee from below | Fee Paid |
|---------------|------------|----------------|----------|
| Total 56      | (-20 =) 36 | 9.00           | 324.00   |
| Indep. 6      | (-3 =) 3   | 40.00          | 120.00   |
| Multiple Dep. |            |                |          |

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description                                         |
|-----------------------|-----------------------|----------|----------|---------------------------------------------------------|
| 103                   | 18                    | 203      | 9        | Claims in excess of 20                                  |
| 102                   | 80                    | 202      | 40       | Independent claims in excess of 3                       |
| 104                   | 270                   | 204      | 135      | Multiple dependent claim                                |
| 109                   | 80                    | 209      | 40       | Reissue Independent claims over original patent         |
| 110                   | 18                    | 210      | 9        | Reissue claims in excess of 20 and over original patent |

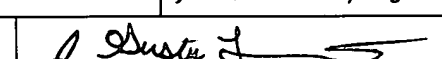
SUBTOTAL (2) (\$) 444.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description                                                            | Fee Paid |
|-----------------------|-----------------------|----------|----------|----------------------------------------------------------------------------|----------|
| 105                   | 130                   | 205      | 65       | Surcharge - late filing fee or oath                                        |          |
| 127                   | 50                    | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | 139      | 130      | Non-English specification                                                  |          |
| 147                   | 2,520                 | 147      | 2,520    | For filing a request for reexamination                                     |          |
| 112                   | 920*                  | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840*                |          | 113      | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | 215      | 55       | Extension for reply within first month                                     |          |
| 116                   | 390                   | 216      | 195      | Extension for reply within second month                                    |          |
| 117                   | 890                   | 217      | 445      | Extension for reply within third month                                     |          |
| 118                   | 1,390                 | 218      | 645      | Extension for reply within fourth month                                    |          |
| 128                   | 1,890                 | 228      | 945      | Extension for reply within fifth month                                     |          |
| 119                   | 310                   | 219      | 155      | Notice of Appeal                                                           |          |
| 120                   | 310                   | 220      | 1550     | Filing a brief in support of an appeal                                     |          |
| 121                   | 270                   | 221      | 135      | Request for oral hearing                                                   |          |
| 138                   | 1,510                 | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | 240      | 55       | Petition to revive - unavoidable                                           |          |
| 141                   | 1,240                 | 241      | 620      | Petition to revive - unintentional                                         |          |
| 142                   | 1,240                 | 242      | 620      | Utility issue fee (or reissue)                                             |          |
| 143                   | 440                   | 243      | 220      | Design issue fee                                                           |          |
| 144                   | 600                   | 244      | 300      | Plant issue fee                                                            |          |
| 122                   | 130                   | 122      | 130      | Petitions to the Commissioner                                              |          |
| 123                   | 50                    | 123      | 50       | Petitions related to provisional applications                              |          |
| 126                   | 240                   | 126      | 240      | Submission of Information Disclosure Stmt                                  |          |
| 581                   | 40                    | 581      | 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                   | 710                   | 246      | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                   | 710                   | 249      | 355      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| Other fee (specify)   |                       |          |          |                                                                            |          |
| Other fee (specify)   |                       |          |          |                                                                            |          |

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00

|                                             |                                                                                     |                                   |         |                          |  |
|---------------------------------------------|-------------------------------------------------------------------------------------|-----------------------------------|---------|--------------------------|--|
| SUBMITTED BY: Simon, Galasso & Frantz, PLC. |                                                                                     |                                   |         | Complete (if applicable) |  |
| Typed or Printed Name                       |                                                                                     | J. Gustav Larson, Reg. No. 39,263 |         |                          |  |
| Signature                                   |  | Date                              | 3-27-01 | Deposit Account User ID  |  |

**REQUEST AND  
CERTIFICATION UNDER 35  
U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Indra Laksono

Title

DEVICE ANDM ETHOD FOR  
COMPRESSION OF A VIDEO STREAM

Atty Docket Number

VIXS.0100010

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. However, the Applicant retains the option to rescind this request at any time. I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

3-27-01

Date

J. Gustav Larson  
Signature

J. Gustav Larson, Reg. No. 39,263  
Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published at eighteen months from the earliest claiming filing date for which a benefit is claimed.

If Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the Applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii).**

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Indra Laksono

Examiner:

Serial No:

Art Group:

Filing Date:

Docket No: VIXS.0100010

Title: DEVICE AND METHOD FOR COMPRESSION OF A VIDEO STREAM

To the Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL855710810US

Name of Depositor: **Terri Alloway**  
(print or type)

Date of Deposit: 3-22-01

Signature: Terri Alloway

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Items accompanying this Certificate of Express Mailing:



Filing papers for a new patent application, such filing papers include:



A specification and drawings for a new patent application;



Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)



Transmittal cover letter;



Fee Transmittal;



Assignment agreement with Recordation Form Cover Sheet;



Combined Declaration and Power of Attorney;



Information Disclosure Statement; and



Return upon receipt post card.